



TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 09/618,380

Filing Date July 17, 2000

First Named Inventor TSO, J.

Group Art Unit 1642

Examiner Name Unassigned

Attorney Docket Number 11823004920

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APR 05 2001

ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers
(for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)
(please identify below):**Preliminary Amendment
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Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Joe Liebeschuetz

Reg No. 37,505

Signature

Date

March 29, 2001

CERTIFICATE OF MAILING

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.



TOTAL AMOUNT OF PAYMENT

(\$ 135)

Complete If Known	
Application Number	09/618,380
Filing Date	July 17, 2000
First Named Inventor	TSO, J.
Examiner Name	
Group Art Unit	1642
Attorney Docket No.	11823004920

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METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																																																																										
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 20-1430 Deposit Account Name Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify)</td><td></td></tr> <tr> <td colspan="5">The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</td> <td>SUBTOTAL (3) (\$)</td> </tr> <tr> <td colspan="5">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$)</td> </tr> </tbody> </table>					Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		127	50	Surcharge - late provisional filing fee or cover sheet.		139	130	Non-English specification		147	2,520	For filing a request for reexamination		112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	Requesting publication of SIR after Examiner action		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1,390	Extension for reply within fourth month		128	1,890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive – unavoidable		141	1,240	Petition to revive – unintentional		142	1,240	Utility issue fee (or reissue)		143	440	Design issue fee		144	600	Plant issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	180	Submission of Information Disclosure Stmt		581	40	Recording each patent assignment per property (times number of properties)		146	710	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	Request for Continued Examination (RCE)		169	900	Request for expedited examination of a design application		Other fee (specify)						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					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SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Joe Liebeschuetz	Registration No. (Attorney/Agent)	37,505	Telephone	650-326-2400		
Signature	<i>J. Liebeschuetz</i>			Date	March 29, 2001		

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Washington, D.C. 20231

On March 29, 2001

TOWNSEND and TOWNSEND and CREW LLP

By Paula and Durley

PATENT
Attorney Docket No.: 011823004920
4/1/2001



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. YUN TSO

Application No.: 09/618,380

Filed: July 17, 2000

For: BISPECIFIC ANTIBODY
EFFECTIVE TO TREAT B-CELL
LYMPHOMA AND CELL LINE

Art Unit: 1642

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE CLAIMS:

Please cancel claims 16-18 without prejudice.

Please add the following new claims.

B1 34 *1st*. A humanized antibody comprising a humanized heavy chain and humanized light chain, wherein:

(1) the humanized heavy chain variable region comprises three complementarity determining regions (CDRs) from the mouse M291 heavy chain and a framework from a human acceptor antibody heavy chain, optionally having one or more human framework residues that interact with one of the CDRs substituted with mouse framework residues from corresponding positions in the M291 heavy chain variable region framework, and